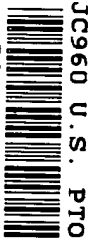


08/03/01



JC960 U.S. PTO

FORM PTO-1082

08-06-01

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Express Mail EL 904 935 184 US

PATENT

Atty. Docket No. 06965-1001

Date: August 3, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

Box PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Miguel Angel Fuenzalida Diaz, Alejandro Markovits Rojas and
Endre Markovits Schersl

For: PHARMACEUTICAL AND FOOD COMPOSITIONS CONTAINING "WOOD ALCOHOLS" OR "WOOD
STEROLS" USEFUL FOR LOWERING SERUM CHOLESTEROL

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☒ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (21 pages)
- ☐ Sheet(s) of drawing (___ formal, ___ informal)
- ☐ Form PTO-1595 and an assignment of the invention to: _____
- ☒ A certified copy of Chile Application No. 2187-2000 filed on August 3, 2000 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, X will follow.
- ☐ An associate Power of Attorney.
- ☐ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement, Form PTO 1449, and ___ cited references
- ☐ Request and Certification under 122(b)
- ☒ Postcard

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____

Examiner: _____ Group/Art Unit: _____

- ☒ Check in the amount of \$490.00 to cover the filing fee is enclosed.
- ☐ Check in the amount of \$0.00 to cover the Assignment Recordation Fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.
 - A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Charles S. Berkman

Charles S. Berkman
Registration No. 38,077
c/o BAKER & MCKENZIE
101 West Broadway, 12th Floor
San Diego, California 92101
(619) 236-1441

J1036 U.S. PTO
09/922532
08/03/01

09/922532 080301

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 490.00

Complete if Known

Application Number	To be assigned
Filing Date	Filed herewith
First Named Inventor	Miguel A.F. Diaz et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	06965-1001

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-0410
Deposit Account Name: Baker & McKenzie

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355.00

2. EXTRA CLAIM FEES

Total Claims: 9 -20** = 0 X 0 = 0
Independent Claims: 1 -3** = 0 X 0 = 0
Multiple Dependent: 135. = 135.

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 135.00

*for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

SUBMITTED BY

Name (Print/Type)	Charles S. Berkman	Registration No. (Attorney/Agent)	38.077	Telephone	(619) 236-1441
Signature		Date	8/3/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Miguel Angel Fuenzalida DIAZ et al.) Art Unit: To be assigned
Serial No.: To be assigned) Examiner: To be assigned
Filed: Filed herewith)
For: **PHARMACEUTICAL AND FOOD**)
COMPOSITIONS CONTAINING)
"WOOD ALCOHOLS" OR "WOOD)
STEROLS" USEFUL FOR)
LOWERING SERUM CHOLESTEROL)

San Diego, California 92101
August 3, 2001

BOX PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Dear Sir:

"Express Mail" mailing label No. EL 904 935 184 US

Date of Deposit: August 3, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$490.00 (filing fee), Patent Application including the Specification, Abstract, and Claims (21 pages) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Karen M. Cruz

06095321000301